



**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application No.: 10/572,563 Confirmation No.: 5069  
Applicant: Jiunn-Liang KO et al. Group Art Unit: Unassigned  
Filing Date: March 17, 2006 Examiner: Unassigned  
Title: FUNGAL IMMUNOMODULATORY PROTEIN (FIP)  
PREPARED BY MICROORGANISMS AND USES THEREOF  
Attorney Docket: 8964-000031/US

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Customer Service Window  
Randolph Building  
401 Dulany Street  
Alexandria, VA 22314  
**Mail Stop Sequence**

March 14, 2007

**PRELIMINARY AMENDMENT**  
**AND**  
**RESPONSE TO NOTICE TO COMPLY**

Sir:

In response to the Notification of Missing Requirements under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) ("Notice") dated September 1, 2006, the Applicants hereby provide a Sequence Listing, in both hardcopy and computer readable form.

03/15/2007 SZEWDIE1 00000038 10572563

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